

ELECTRONICS AND INFORMATION SYSTEMS DEPARTMENT LIQUID CRYSTALS AND PHOTONICS RESEARCH GROUP

# LIQUID CRYSTALS AND LIGHT EMITTING

# MATERIALS FOR PHOTONIC APPLICATIONS

Kristiaan Neyts

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Lecture series at WAT in Warsaw











#### Electrical and optical properties of materials (6h)

Polarizability of dielectric materials Light propagation Conductors and semiconductors Light propagation in anisotropic media Polarized light Spontaneous and stimulated emission



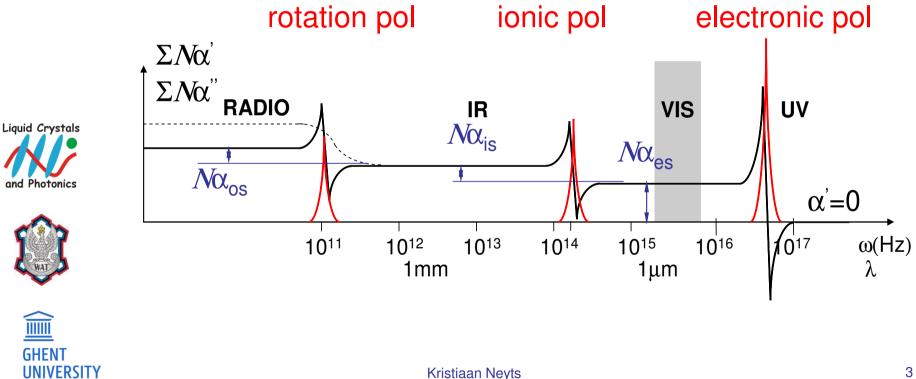




# **GENERAL DIELECTRIC BEHAVIOR**

different resonance/relaxation processes

sum of all polarizabilities per unit volume:



#### PROPAGATION OF PLANE MONOCHROMATIC WAVES

Maxwell equations

**Liquid Crystals** 

 $\overline{\mathbb{III}}$ 

**GHENT** UNIVERSITY wave equation in vacuum

in a medium  $\nabla \times \left( \nabla \times \vec{E} \right) = \nabla \times \left( -\frac{\partial \vec{B}}{\partial t} \right) = -\varepsilon \mu_0 \frac{\partial^2 \vec{E}}{\partial t^2}$  $\nabla \cdot \vec{E} = 0$  $\nabla \cdot \vec{B} = 0$  $\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$  $\nabla^2 \vec{E} - \mathcal{E} \mu_0 \frac{\partial^2 \vec{E}}{\partial t^2} = 0$  $\nabla \times \vec{B} = \mathcal{E}\mu_0 \frac{\partial \vec{E}}{\partial t}$  $\vec{E}_0 \cos\left(\omega t - \vec{k} \cdot \vec{r}\right) = \vec{E}_0 \operatorname{Re} \left| e^{i\left(\omega t - \vec{k} \cdot \vec{r}\right)} \right|$ solution  $k^{2} - \varepsilon \mu_{0} \omega^{2} = 0$   $k = \frac{\omega}{c} \sqrt{\frac{\varepsilon}{\varepsilon_{0}}} = \frac{\omega}{c} n$ **Kristiaan Neyts** 4

# **REFRACTION OF LIGHT**

Light incident in air: wavelength  $\lambda$ incidence angle  $\theta$ 

there is a translation symmetry: the problem is invariant for a horizontal shift over  $\frac{\lambda}{\sin\theta}$ 



Liquid Crystals

the same invariance



must be present in the medium

$\theta$	
$\frac{\lambda}{\sin\theta}$	

#### **REFRACTION OF LIGHT**

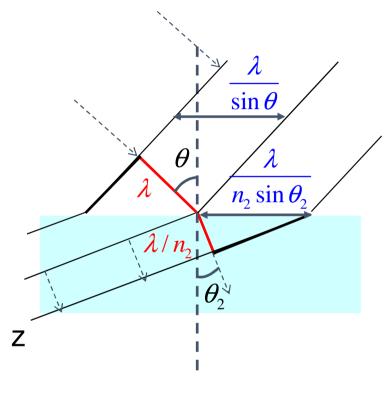
Light incident from air on a surface with angle  $\theta$ 

$$\frac{\lambda}{\sin\theta} = \frac{\lambda}{n_2\sin\theta_2}$$





 $\sin\theta = n_2 \sin\theta_2$ 



Law of Snell

#### **REFRACTION OF LIGHT**

the wisdom of the spear-fisher

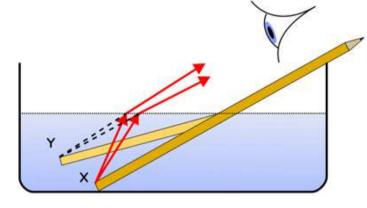
"objects are deeper than they appear"



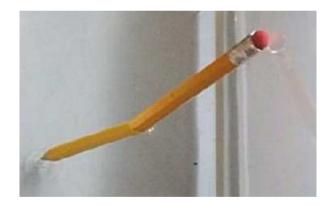
national Geographic







http://www.freeendlessinfo.com/2012/05/why-does-water-always-seem-shallower-than-it-is/#.VCzVXRb6Svk



http://plus.maths.org/content/light-bends-wrong-way

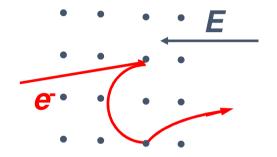


#### **B.9. MICROSCOPIC THEORY FOR CONDUCTORS AND SEMICONDUCTORS**

#### **C.1. The 1D-Drude Free-Electron Model for Metals**

most electrons accelerate under influence of the field

some electrons collide with ions, then thermal distribution ( $\nu \sim 0$ ) average time between collisions  $\tau$ 





conductivity depends on the frequency:

$$J = \sigma E \qquad \Longrightarrow \qquad \sigma(\omega) = \frac{Ne^2}{m(i\omega + 1/\tau)}$$

unit  $1/\Omega m$ 

#### MICROSCOPIC THEORY FOR CONDUCTORS

#### formula for dielectric constant with conductivity

$$\varepsilon = \varepsilon_0 \left( 1 + \chi - i \frac{\sigma}{\omega \varepsilon_0} \right)$$

$$\sigma(\omega) = \frac{Ne^2}{m(i\omega + 1/\tau)}$$

$$\varepsilon = \varepsilon_0 \left( 1 + \chi + \frac{Ne^2}{m\varepsilon_0} \cdot \frac{1}{-\omega^2 + i\omega/\tau} \right)$$
free electron damping oscillation absorption (collisions)



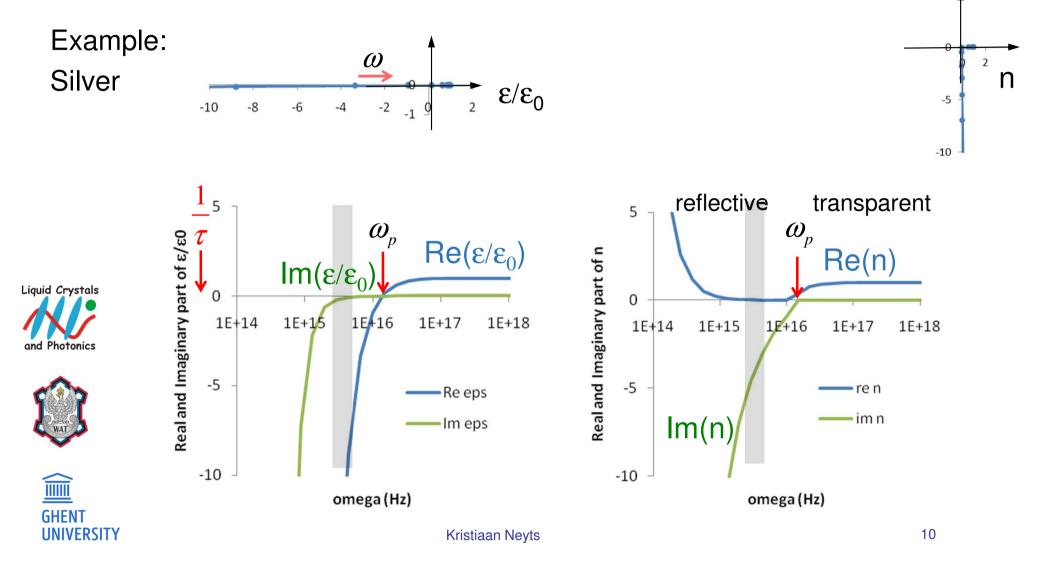


The term io/ $\tau$  (absorption)

 $<<\omega^2$  in the visible spectrum (high  $\omega)$  becomes important in the IR



#### **MICROSCOPIC THEORY FOR CONDUCTORS**



#### **BAND STRUCTURE IN SEMICONDUCTORS**

Only certain states allowed: energy versus n, k

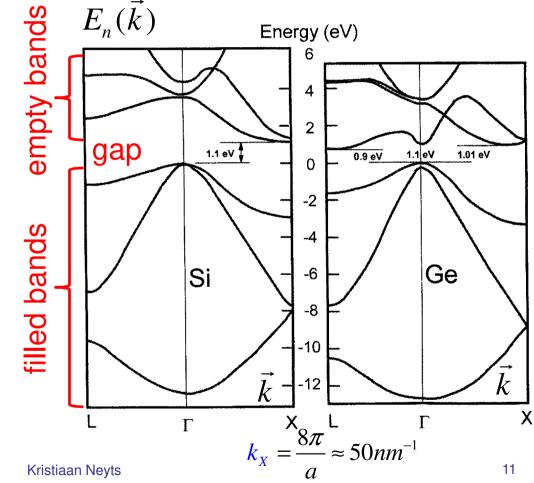
Si, Ge: diamond structure, similar to FCC gap a/4a=0.5 nm filled bands each point in the diagram is an electron state

Liquid Crystals

and Photonics

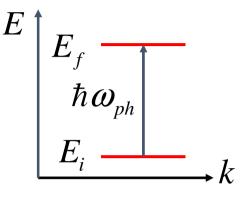
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#### INTERBAND TRANSITIONS

Conservation of energy  $E_f = E_i + \hbar \omega_{ph}$ Conservation of momentum  $\hbar \vec{k}_{f} = \hbar \vec{k}_{i} + \hbar \vec{k}_{nh}$ 



Liquid Crystals



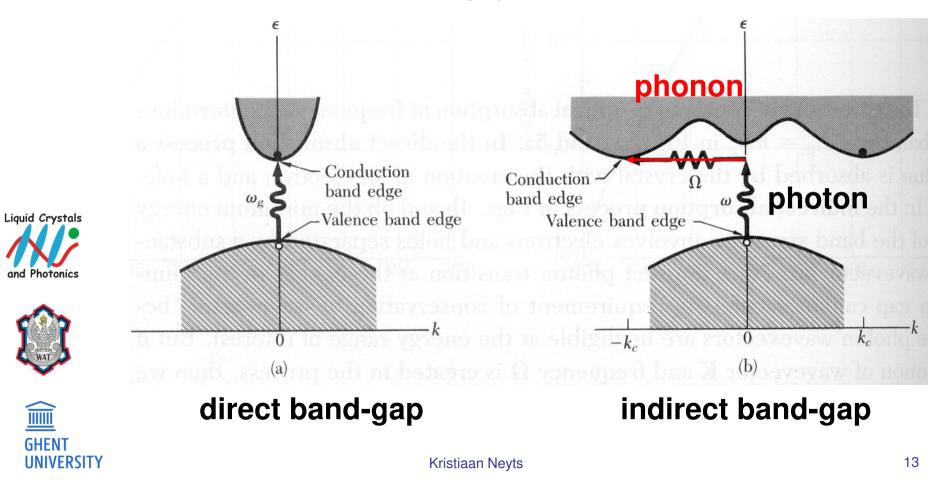
wave vector of a photon: order 10<sup>7</sup>m<sup>-1</sup> dimension of Brillouin zone: 10<sup>10</sup>m<sup>-1</sup>

$$\hbar \vec{k}_{ph} << \hbar \vec{k}_i, \hbar \vec{k}_f$$

**GHENT** UNIVERSITY thus: absorption of a photon does not change the k-vector of the electron  $\hbar \vec{k}_{f} \approx \hbar \vec{k}_{i}$ vertical line in the energy diagram

#### **INTERBAND TRANSITIONS**

Direct and indirect band-gap



# **ABSORPTION OF LIGHT**

Most materials: no absorption in the visible region electrons absorb in the UV; ions absorb in the IR some exceptions: Semiconductor electrons band-gap absorption











Impurity electrons with large orbitals

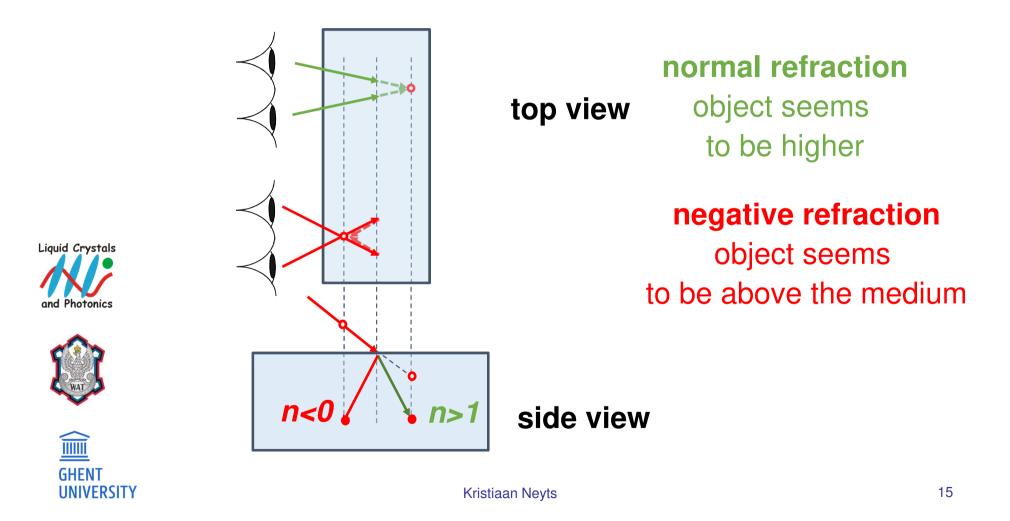




Organic molecules with large orbitals

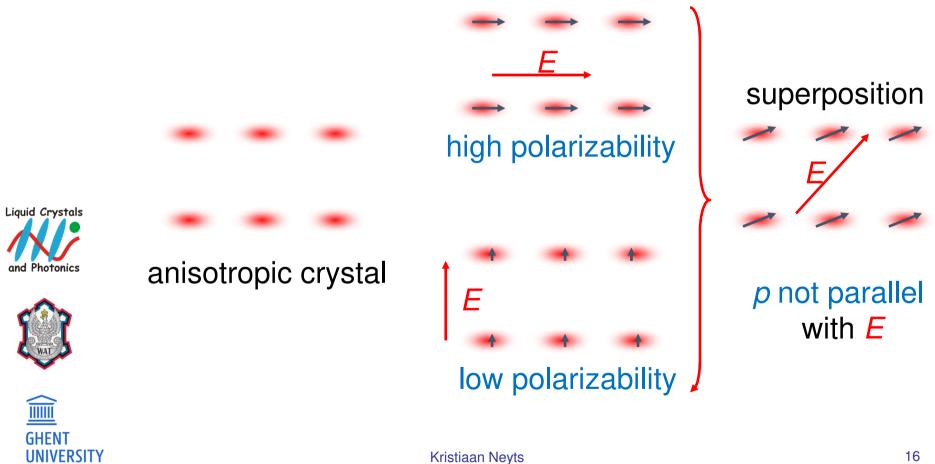


#### **NEGATIVE REFRACTIVE INDEX MATERIALS**

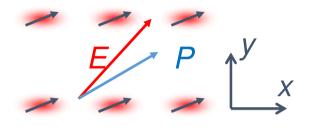


# ANISOTROPIC POLARIZABILITY

Anisotropic polarizability in a crystalline structure



Tensor relation between *P* and *E* 



P not parallel with E

for special choice of xy axes:

$$P_{x} = \chi_{xx} \mathcal{E}_{0} E_{x}$$
$$P_{y} = \chi_{yy} \mathcal{E}_{0} E_{y}$$

general choice of xy axes:

Tensor notation:

$$\vec{P} = \overline{\bar{\chi}} \varepsilon_0 \vec{E}$$

Shortened notation:

$$P_i = \chi_{ij} \varepsilon_0 E_j$$

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Liquid Crystals

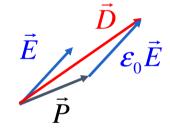
Tensor relation between *D* and *E* 

Tensor notation:IShortened notation:I

$$\vec{D} = \varepsilon_0 \vec{E} + \vec{P} = \overline{\overline{\varepsilon}} \vec{E}$$
$$D_i = \varepsilon_{ij} E_j$$



D, P normally not parallel with ED lies between E and P





Alternatively, matrix algebra teaches us: "a symmetric matrix can always be diagonalized by an appropriate coordinate transformation"

$$\overline{\overline{\mathcal{E}}} = \begin{pmatrix} \mathcal{E}_{11} & \mathcal{E}_{12} & \mathcal{E}_{13} \\ \mathcal{E}_{21} & \mathcal{E}_{22} & \mathcal{E}_{23} \\ \mathcal{E}_{31} & \mathcal{E}_{32} & \mathcal{E}_{33} \end{pmatrix} \rightarrow \begin{pmatrix} \mathcal{E}_1 & 0 & 0 \\ 0 & \mathcal{E}_2 & 0 \\ 0 & 0 & \mathcal{E}_3 \end{pmatrix}$$

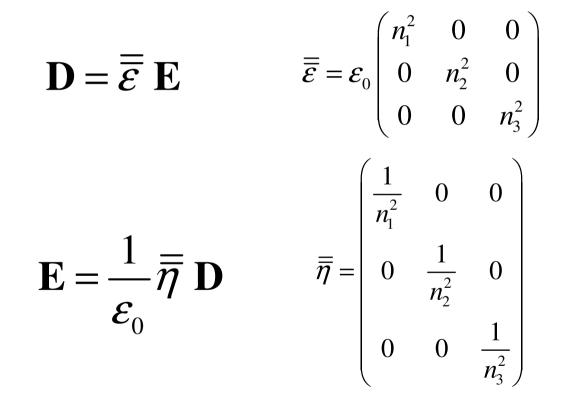


The new axes are called the principle axes \_ of the ellipsoid (or the matrix)

$$\overline{\overline{\varepsilon}} = \begin{pmatrix} \varepsilon_1 & 0 & 0 \\ 0 & \varepsilon_2 & 0 \\ 0 & 0 & \varepsilon_3 \end{pmatrix} = \varepsilon_0 \begin{pmatrix} n_1^2 & 0 & 0 \\ 0 & n_2^2 & 0 \\ 0 & 0 & n_3^2 \end{pmatrix}$$
principle indices of refraction



Introduction of the impermeability tensor  $\overline{\eta}$ Inverse of the dielectric tensor (without dimension)









Now we use the impermeability tensor

$$\overline{\overline{\eta}} = \begin{pmatrix} \eta_{11} & \eta_{12} & \eta_{13} \\ \eta_{21} & \eta_{22} & \eta_{23} \\ \eta_{31} & \eta_{32} & \eta_{33} \end{pmatrix}$$

to eliminate *E* (instead of *D*) by 
$$\mathbf{E} = \frac{1}{\varepsilon_0} \overline{\overline{\eta}} \mathbf{D}$$

the energy density becomes:



$$\frac{1}{2} \mathbf{D} \cdot \mathbf{E} = \frac{1}{2\varepsilon_0} \mathbf{D} \overline{\eta} \mathbf{D}$$

$$\Longrightarrow \quad \varepsilon_0 \mathbf{D} \cdot \mathbf{E} = \eta_{11} D_x^2 + \eta_{22} D_y^2 + \eta_{33} D_z^2 + 2\eta_{12} D_x D_y + 2\eta_{23} D_y D_z + 2\eta_{31} D_z D_x$$
This is an ellipsoid, we normalize by:  $\mathbf{v} = \frac{D_i}{2\varepsilon_0} \mathbf{D} \cdot \mathbf{E} = \frac{1}{2\varepsilon_0} \mathbf{D} \cdot \mathbf{E} =$ 



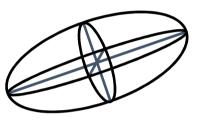
GHENT UNIVERSITY This is an ellipsoid, we normalize by:  $X_i = \frac{D_i}{\sqrt{\varepsilon_0 \mathbf{D} \cdot \mathbf{E}}}$  $\mathbf{X} \overline{\overline{\eta}} \mathbf{X} = 1$ 

# **FRESNEL'S EQUATION**

The normalized equation is the index ellipsoid  $\mathbf{X}\overline{\overline{\eta}}\mathbf{X}=1$ 

$$\eta_{11}X^2 + \eta_{22}Y^2 + \eta_{33}Z^2 + 2\eta_{12}XY + 2\eta_{23}YZ + 2\eta_{31}ZX = 1$$

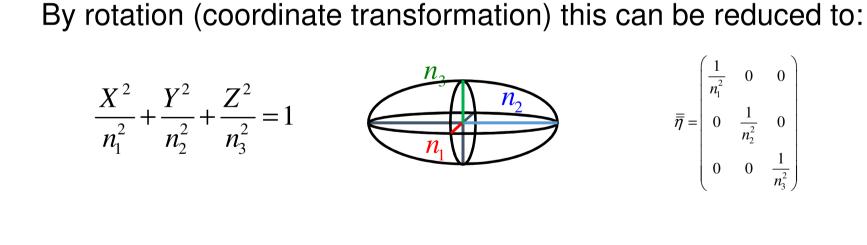
the symmetric impermeability tensor is represented by a quadric Short notation:  $X_i X_j \eta_{ij} = 1$ 





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Light propagation in an anisotropic medium?

The wave equation relates 
$$E$$
 and  $D$   
 $\nabla \times (\nabla \times \mathbf{E}) = -\mu_0 \frac{\partial^2 \mathbf{D}}{\partial t^2}$   
Let us now eliminate  $E$ :  $\mathbf{E} = \frac{1}{\varepsilon_0} \overline{\overline{\eta}} \mathbf{D}$   $\overline{\overline{\eta}} = \begin{pmatrix} \eta_{11} & \eta_{12} & \eta_{13} \\ \eta_{21} & \eta_{22} & \eta_{23} \\ \eta_{31} & \eta_{32} & \eta_{33} \end{pmatrix}$ 



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$$\nabla \times \left( \nabla \times \overline{\overline{\eta}} \mathbf{D} \right) = -\varepsilon_0 \mu_0 \frac{\partial^2 \mathbf{D}}{\partial t^2}$$

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Plane wave solution for *D*?

$$\mathbf{D} = \mathbf{D}_0 e^{\mathbf{i}(\omega t - \mathbf{k} \cdot \mathbf{r})} \qquad \nabla \times \left(\nabla \times \overline{\overline{\eta}} \mathbf{D}\right) = -\varepsilon_0 \mu_0 \frac{\partial^2 \mathbf{D}}{\partial t^2}$$

substitution of the proposed periodic solution:

$$\mathbf{k} \times (\mathbf{k} \times \overline{\overline{\eta}} \mathbf{D}) = \varepsilon_0 \mu_0 \omega^2 \mathbf{D}$$

$$\mathbf{k} = k \mathbf{e}_k = \frac{\omega}{c} n \cdot \mathbf{s} = \sqrt{\varepsilon_0 \mu_0} \omega n \cdot \mathbf{s}$$

$$\mathbf{s} \times (\mathbf{s} \times \overline{\overline{\eta}} \mathbf{D}) = -\frac{1}{n^2} \mathbf{D}$$

$$\mathbf{s}: \text{ unit vector along } \mathbf{k}$$







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$$\mathbf{s} \times \left( \mathbf{s} \times \overline{\overline{\eta}} \mathbf{D} \right) = -\frac{1}{n^2} \mathbf{D}$$
$$\mathbf{s} \cdot \left( \mathbf{s} \cdot \overline{\overline{\eta}} \mathbf{D} \right) - \overline{\overline{\eta}} \mathbf{D} \left( \mathbf{s} \cdot \mathbf{s} \right) = -\frac{1}{n^2} \mathbf{D}$$

Choose the *z*-axis along the *k*-vector, then  $\mathbf{s} = \mathbf{e}_z$   $\mathbf{e}_z \cdot (\overline{\overline{\eta}} \mathbf{D})_z - \overline{\overline{\eta}} \mathbf{D} = -\frac{1}{n^2} \mathbf{D}$  $\mathbf{e}_z \cdot (\overline{\overline{\eta}} \mathbf{D})_z - \mathbf{e}_x \cdot (\overline{\overline{\eta}} \mathbf{D})_x - \mathbf{e}_y \cdot (\overline{\overline{\eta}} \mathbf{D})_y - \mathbf{e}_z \cdot (\overline{\overline{\eta}} \mathbf{D})_z = -\frac{1}{n^2} \mathbf{D}$ 







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This is an eigenvalue problem for a 2x2 matrix:  $\begin{pmatrix} \eta_{11} & \eta_{12} \\ \eta_{21} & \eta_{22} \end{pmatrix}$  solution:

- two eigenvalues  $1/n^2$
- two eigenmodes for the vector

$$\begin{pmatrix} D_x \\ D_y \end{pmatrix}$$

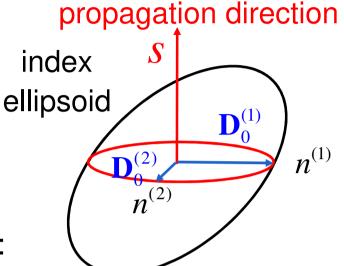


$$\mathbf{D} = \mathbf{D}_0 \, \mathrm{e}^{\mathrm{i} \left( \omega t - \frac{\omega}{c} n \mathbf{s} \cdot \mathbf{r} \right)}$$

Conclusion:

to find the wave solutions with *k* along *s*:

- use the index ellipsoid
- intersect with a plane perpendicular to s
- the result is an ellipse
- the principle axes of the ellipse give the eigenmodes for D
- the lengths of the intersections give the refractive indices







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#### **PROPERTIES OF EIGENMODES**

$$\mathbf{D} = \mathbf{D}_0 e^{\mathbf{i}(\omega t - \mathbf{k} \cdot \mathbf{r})}$$
$$\mathbf{E} = \mathbf{E}_0 e^{\mathbf{i}(\omega t - \mathbf{k} \cdot \mathbf{r})}$$
$$\mathbf{H} = \mathbf{H}_0 e^{\mathbf{i}(\omega t - \mathbf{k} \cdot \mathbf{r})}$$

From the laws of Maxwell, we find:

$$\nabla \times \mathbf{E} = -\frac{\partial \mu_0 \mathbf{H}}{\partial t} \longrightarrow -i\mathbf{k} \times \mathbf{E} = -i\omega\mu_0 \mathbf{H} \longrightarrow \mathbf{H} \perp \mathbf{E}; \mathbf{H} \perp \mathbf{k}$$
$$\nabla \times \mathbf{H} = \frac{\partial \mathbf{D}}{\partial t} \longrightarrow -i\mathbf{k} \times \mathbf{H} = i\omega \mathbf{D} \longrightarrow \mathbf{D} \perp \mathbf{H}; \mathbf{D} \perp \mathbf{k}$$





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#### 

Thus D, H and k form a set of mutually perpendicular vectors



*E* is perpendicular to *H*, and lies therefore in the *D*,*k* plane For anisotropic materials: *E* is normally not parallel to *D E* is normally not perpendicular to *k* H



Three optical classes  $\overline{\overline{\varepsilon}} = \varepsilon_0 \begin{pmatrix} n_1^2 & 0 & 0 \\ 0 & n_2^2 & 0 \\ 0 & 0 & n_3^2 \end{pmatrix}$  $n_1 \neq n_2 \neq n_3$ Biaxial crystals:  $n_1 < n_2 < n_3$ 2 optical axes  $\overline{\overline{\varepsilon}} = \varepsilon_0 \begin{pmatrix} n_o^2 & 0 & 0 \\ 0 & n_o^2 & 0 \\ 0 & 0 & n_o^2 \end{pmatrix}$ Uniaxial crystals:  $n_1 = n_2 = n_o$ 1 optical axis  $n_3 = n_e$  $\overline{\overline{\varepsilon}} = \varepsilon_0 \begin{pmatrix} n^2 & 0 & 0 \\ 0 & n^2 & 0 \\ 0 & 0 & n^2 \end{pmatrix}$ Isotropic materials:  $n_1 = n_2 = n_3 = n$ all directions optical axes



**Liquid Crystals** 

Relation and optical class and symmetry elements of a crystal?

Cubic crystal: three axes *x*,*y*,*z* are equivalent ellipsoid with three equivalent axes is a sphere therefore  $n_1 = n_2 = n_3 = n$ the dielectric tensor is isotropic



Liquid Crystals

Point group of a crystal:

set of rotational/mirror symmetries of a crystal

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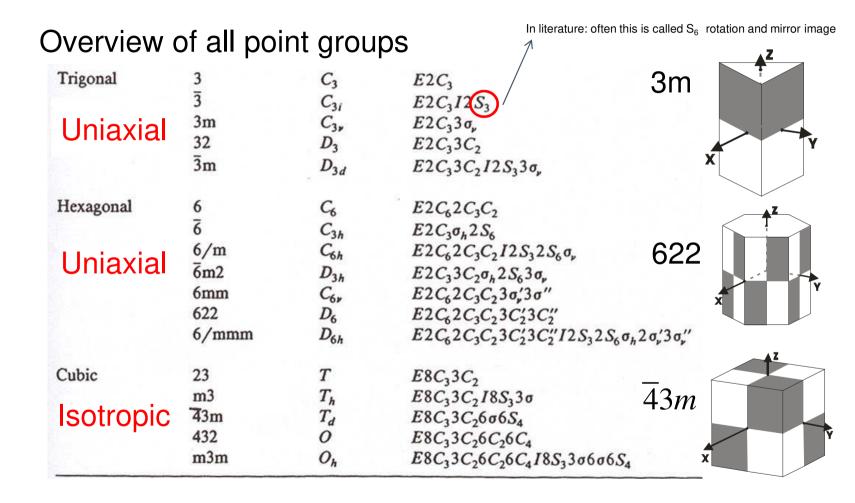
#### point groups of crystals

Crystal Class Symbol		ymbol	
	International	Schoenflies	Elements of Symmetry
Triclinic	1	$C_1$	Ε
	1	Ci	EI
Monoclinic	m	C,	$E\sigma_h \qquad 2/m$
	2	$C_2$	EC <sub>2</sub>
	2/m	Cs C2 C2h	$EC_2 I\sigma_h$
Orthorhombic	2mm	C <sub>2</sub> ,	$EC_2\sigma'_{\nu}\sigma''_{\nu}$
	222	$D_2$	$EC_2C_2'C_2''$
	mmm	$D_{2h}$	$EC_2C_2'C_2''I\sigma_h\sigma_\nu'\sigma_\nu''$
Tetragonal	4	$C_4$	$E2C_4C_2$
	4 4	S4	$E2S_4C_2$
	4/m	C <sub>4h</sub>	$E2C_4C_2I2S_4\sigma_h$
	4mm	$C_{4\nu}$	$E2C_4C_22\sigma_{\mu}^{\prime}2\sigma_{\mu}^{\prime\prime}$
Uniaxial	<b>4</b> 2m	D <sub>2d</sub>	$EC_2C_2'C_2''\sigma_{\nu}'^2S_4\sigma_{\nu}''$
	422	$D_4$	$E2C_4C_22C_2'2C_2''$
	4/mmm	$D_{4h}$	$E2C_4C_22C_2'2C_2''I2S_4\sigma_h^2\sigma_y^2\sigma_h^2$

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Liquid Crystals

and Photonics





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# LIGHT PROPAGATION IN UNIAXIAL CRYSTALS

dielectric constant  
index ellipsoid
$$\frac{X^2 + Y^2}{n_o^2} + \frac{Z^2}{n_e^2} = 1$$

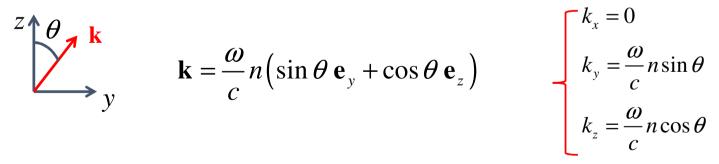
$$\begin{bmatrix}
n_o^2 & 0 & 0 \\
0 & n_o^2 & 0 \\
0 & 0 & n_e^2
\end{bmatrix}$$

rotational symmetry around the *z*-axis

we can assume that the *k* vector is in the *yz* plane wave vector makes an angle  $\theta$  with the *z*-axis







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#### LIGHT PROPAGATION IN UNIAXIAL CRYSTALS

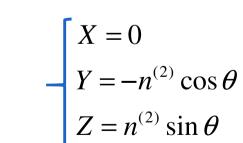
Index ellipsoid:

k-vector ~ 
$$\mathbf{s} = \sin \theta \, \mathbf{e}_y + \cos \theta \, \mathbf{e}_z$$

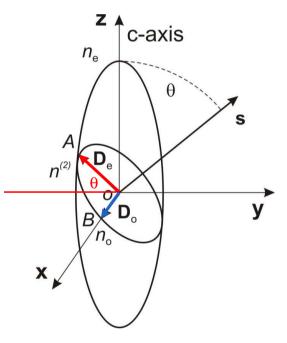
$$\frac{X^2 + Y^2}{n_o^2} + \frac{Z^2}{n_e^2} = 1$$

plane perpendicular to the *k*-vector intersection with index ellipsoid is an ellipse two eigenmodes:

- ordinary mode:  $D_o$  along x with  $n_o$
- extra-ordinary mode:  $n^{(2)}$  or  $n_{eff}$  $D_{e}$  in the yz plane,  $\perp$  s



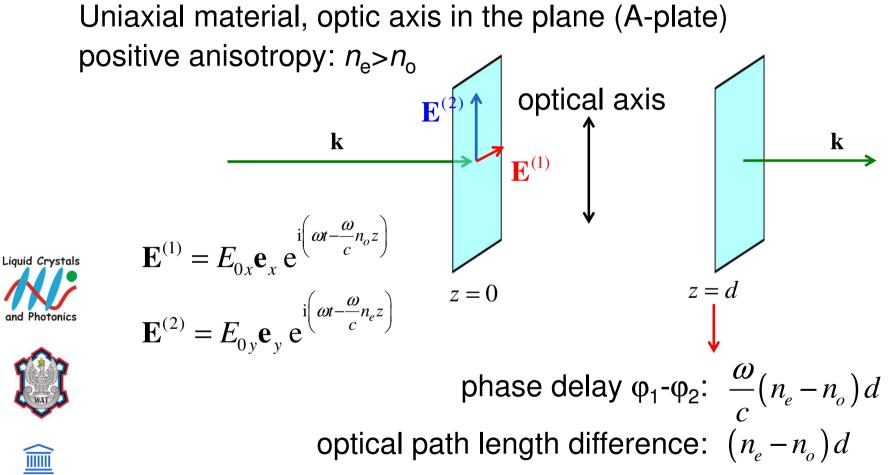
$$\frac{n^{(2)2}\cos^2\theta}{n_o^2} + \frac{n^{(2)2}\sin^2\theta}{n_e^2} = 1$$



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**Liquid Crystals** 

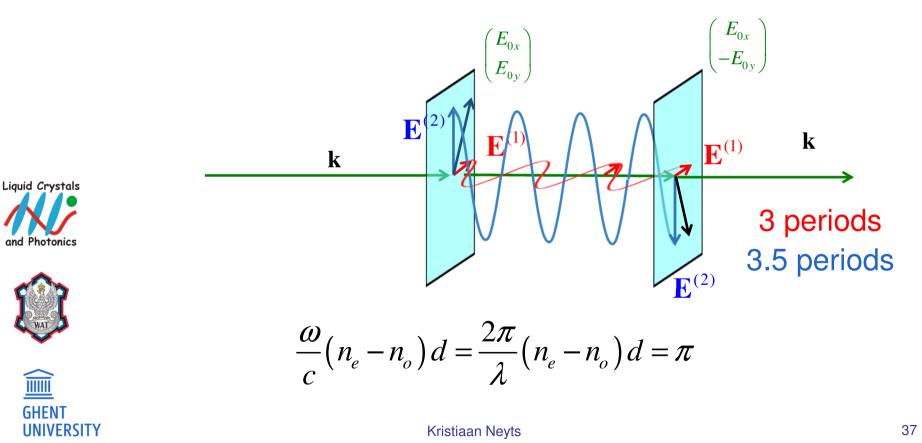
# WAVELENGTH RETARDATION PLATES



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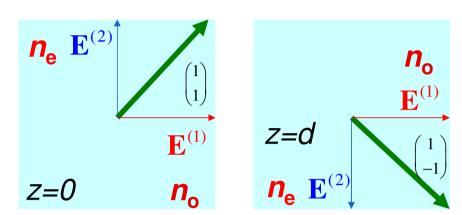
#### WAVELENGTH RETARDATION PLATES

#### Example: half-wave plate the wave $E_2$ is delayed by a phase $\pi$

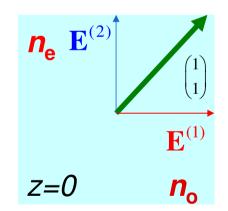


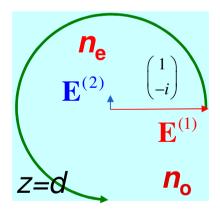
# WAVELENGTH RETARDATION PLATES

z=0: linearly polarized at 45° phase delay  $\pi$ mirror polarization plane



#### seen from the destination







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# quarter wave plate E<sub>2</sub> phase delay π/2 left circular polarization *E* counter-clockwise in time (left-handed helix in space)

# POLARIZATION STATES OF LIGHT

Liquid Crystals

and Photonics

GHENT UNIVERSITY right-handed circularly polarized light (propagation along z)

$$\mathbf{E} = \operatorname{Re}\left[\left(\mathbf{e}_{x} + i\mathbf{e}_{y}\right)e^{i(\omega t - kz)}\right] \qquad \begin{pmatrix} 1\\ i \end{pmatrix}$$
variation of *E* with position:  
right handed helix
vikipedia
vikipedia

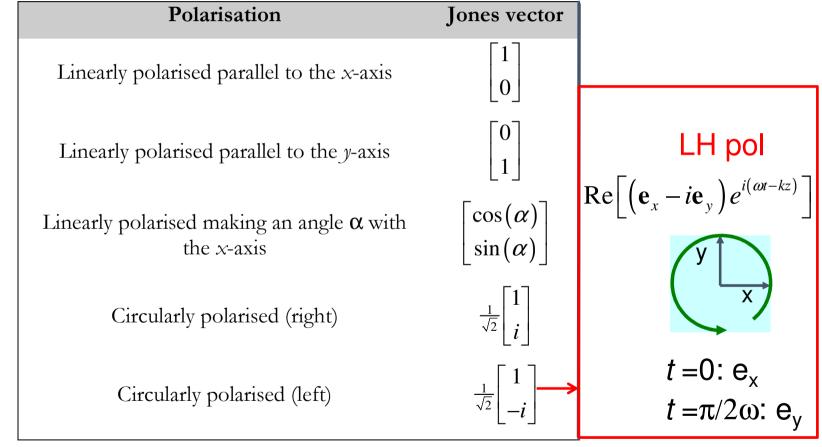
# POLARIZATION STATES OF LIGHT (TOOLBOX 2)

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 $\mathbf{E} = \operatorname{Re}\left[\left(J_{x}\mathbf{e}_{x}+J_{y}\mathbf{e}_{y}\right)e^{i(\omega t-kz)}\right]$ 



#### **DOUBLE REFRACTION**

wavelength in two media

λ

distance between two wave fronts along the surface should be equal

λ

Liquid Crystals and Photonics

$$\overline{n_1 \sin \theta_1} = \overline{n_2 \sin \theta_2}$$

$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$
Law of Snellius
$$\sum_{k=1}^{k} \frac{\lambda}{n_2}$$

$$n_2 \sin \theta_2$$

$$\frac{\omega}{c} n_1 \sin \theta_1 = \frac{\omega}{c} n_2 \sin \theta_2 \longrightarrow k_1 \sin \theta_1 = k_2 \sin \theta_2$$

$$k_{1y} = k_{2y}$$

 $k_1$ 

 $\theta_1$ 

θ

λ

 $n_1 \sin \theta_1$ 

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# **DOUBLE REFRACTION**

Light incident from an isotropic medium into an anisotropic medium *k*-vector represents periodicity of a wave



Tangential component *k*<sub>t</sub> of all *k*-vectors should be the same Intersection with normal surface?

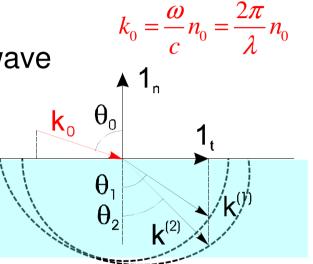


two solutions: bi-refringence



double refraction





$$n_0 \sin \theta_0 = n^{(1)} \sin \theta_1 = n^{(2)} \sin \theta_2$$

#### DICHROISM

#### Linear dichroism

anisotropic absorption

$$\overline{\overline{\varepsilon}}_{r} = \begin{pmatrix} (n_{1} + i n_{1} )^{2} & 0 & 0 \\ 0 & (n_{2} + i n_{2} )^{2} & 0 \\ 0 & 0 & (n_{3} + i n_{3} )^{2} \end{pmatrix}$$



linear polarizer: y polarization is absorbed, x-pol is transmitted



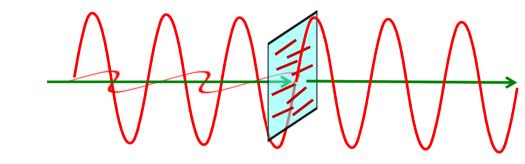


 $\overline{\overline{\varepsilon}}_{r} = \begin{pmatrix} n_{1}'^{2} & 0 & 0 \\ 0 & (n_{2}' + i n_{2}'')^{2} & 0 \\ 0 & 0 & n_{3}'^{2} \end{pmatrix}$ 

#### **DICHROISM**

#### **Polaroid polarizer**

oriented absorbing polymer molecules





#### Wire grid polarizer

parallel metal wires absorb the *E*-field component parallel to the wires

CONDUCTING

WIRE



